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DOCKET NO.: CARP-0067

Examining Group 1642
RESPONSE UNDER 37 CFR 1.116
Expedited Procedure

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

D.J. King and A. P. Chapman

Serial No.: 09/214,251

Filing Date: March 10, 1999

For: MONOVALENT ANTIBODY FRAGMENTS

Group Art Unit: 1642

Examiner: L. Helms

DATE OF DEPOSIT: September 21, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
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FOR PATENTS, WASHINGTON, DC 20231.

Ellen M. Klann
TYPED NAME: Ellen M. Klann, Ph.D.
REGISTRATION NO.: 44,836

Box ☐ NON-FEE

☒ AF

Assistant Commissioner for Patents
Washington DC 20231

Sir:

AMENDMENT TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

- ☐ A Preliminary Amendment.
- ☒ An Amendment Responsive to the Office Action Dated March 21, 2001
- ☐ An Amendment Supplemental to the Paper filed _____

AF/1642#

TECH CENTER 1600/2900

SEP 27 2001

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- ☐ Other: _____
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
 - ☐ a Small Business Concern
 - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages _____ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ _____ Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
 - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: _____
- ☒ Other Material as follows: Notice of Appeal from the Primary Examiner to the

Board of Appeals

FEE CALCULATION

☐ No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	5	20 (20 MINIMUM)		\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	2	3 (3 MINIMUM)		\$40 EACH	\$	\$80 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$135	\$	\$270	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$195	\$	\$390	\$
<input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$445	\$	\$890	\$890.00
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$695	\$	\$1390	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$945	\$	\$1890	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input checked="" type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							\$310.00
TOTAL FEE DUE							\$1,200.00

☒ A Check is Enclosed in the Foregoing Amount Due.

☒ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of **March 21, 2001** to and through **September 21, 2001** comprising an extension of the shortened statutory period of 3 month(s).

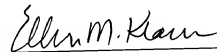
☒ The Commissioner is hereby requested to grant an extension of time for the

any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: September 21, 2001



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